



DRS. KIRAN & PALLAVI PATEL GLOBAL UNIVERSITY
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KPGU
Vadodara

KPGU PH.D. REGULATIONS- 2021



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Ph.D. Regulations – 2021

Doctor of Philosophy (Ph.D.) is an Academic Degree which requires in-depth study and extensive intellectual effort. The Doctor of Philosophy (Ph.D.) Degree is awarded to a candidate who, as per these regulations, has submitted a thesis on the basis of original research either in any particular subject/discipline or involving more than one discipline(inter-disciplinary) that makes a contribution to the advancement of knowledge, which is approved by panel of Examiners as required by the Ph.D. Regulations-2021 of Drs. Kiran & Pallavi Patel Global University (KPGU), Vadodara from the admission to the award of “**Degree of Doctor of Philosophy**” is based on the **UGC (Minimum Standards and Procedure for award of Ph.D. Degree) Regulations 2016** and subsequent amendments thereafter.

1.0 Eligibility

Subject to the conditions stipulated in these Regulations, the following persons are eligible to seek admission to the Ph.D. programme

- 1.1 Candidates seeking admission to Ph. D. program shall have a Master's degree or a professional degree declared equivalent to the Master's degree, in their respective subject/faculty, by the corresponding statutory/regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a UGC / AIU recognized university, established or incorporated under a law or any other statutory authority of the country.
- 1.2 A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/SEBC/OBC (non-creamy layer)/ differently-abled and other categories of candidates as per the decision of the UGC from time to time, or for those who had obtained their master's degree prior to 19th September 1991. The qualifying degree marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned

above are permissible based only on the qualifying degree marks without including the grace mark procedures.

2.0 Faculties/ Departments Offering Ph.D. Programmes

The names and numbers of faculties offering research programmes leading to award of PhD will be updated from time to time by the University.

Notwithstanding anywhere in this Regulations, a candidate wishing to register in inter disciplinary subject within the faculty of his master's degree, shall be allowed to pursue study under recognized Supervisor of the faculty while mandatorily having a Co- Supervisor of the subject in which he/she possesses master degree.

3.0 Ph.D. Programme

Admission to Ph.D. Programme is offered in the following two modes:

3.1 Full-Time

- 3.1.1 Candidates under Full-time mode shall carry out research work in the University campus. The Full-time Ph.D. scholars shall report daily to the concerned department at the University and should sign in the attendance register at the respective place of research.
- 3.1.2 Project-staff/ fellow working in a sponsored project of Govt. of India/Industries/ Research Organization/ State Government can also register under full time mode.
- 3.1.3 Candidates in employment, who want to pursue Full-time study, should be sponsored by their employer and should avail leave for the minimum duration of the programme and should be formally relieved from their duty to join the research programme.
- 3.1.4 Candidates who are selected under the National level Fellowship programmes or by any recognized bodies and who satisfy the eligibility conditions as per the regulations shall apply for Full-time mode in the respective discipline.

3.2 Part-Time

This mode refers to employed scholars admitted to the Ph.D. programme. A “No Objection Certificate” is mandatory from the Head of the institution/employer along with the application. Such scholars shall not be entitled/ eligible for any kind of fellowship, financial assistantship and accommodation etc. offered by the University. For the attendance and course work, they must remain in contact with concerned guide and adjust his/her job hours accordingly. Candidate shall not be allowed Ph. D. study through the mode of distance education

3.3 Change of Mode

Provision exists for change of mode from full-time to part-time and vice-versa provided the residency and attendance requirements are satisfied. The change of mode shall be approved by the Provost only once during the tenure, subject to written request letter along with submission of necessary documents and payment of requisite fees, with the recommendation of the supervisor, Head of the Department, Dean of the Faculty concerned and the scholar. Change of mode more than once may be considered only under extraordinary circumstances, if deemed fit. Change of mode is permitted only for the scholars who have a confirmed registration, not in case of candidates with provisional registration. In case of change from full-time to part-time or vice-versa, Provost shall consider such requests under compelling circumstances on the recommendation of DRC/RAC. If the request is approved, official communication shall be issued to take the effect.

4.0 Procedure for Admission

- 4.1 University shall issue notification for Ph.D. admission twice every year.
- 4.2 The candidates desirous of registering for Ph.D. Programme under any one of the above mentioned modes shall apply by filling all the relevant details mentioned in the application form posted on the University website and submit the complete filled application online before the due date as indicated in the notification issued from time to time.

- 4.3 Incomplete applications and applications with false information or without supporting evidences in any respect shall be summarily rejected without any intimation to the candidate and no further communication will be entertained.
- 4.4 The Associate Dean, Ph. D./ Ph. D. Section shall screen the applications as per the eligibility norms and the Ph. D. Section–shall conduct the written test only for eligible candidates. Candidates appearing for the KPGU Ph.D. Entrance Test (KPGU-PET) should obtain minimum marks as specified by the University to qualify for the interview process.
- 4.5 The PET scores will be valid for 24 months calculated from date of announcement of results.
- 4.6 Admission of Ph.D. students shall be based on the following criteria besides General Eligibility:
- i. A KPGU Ph.D. Entrance Test (PET) of 70 marks [70 multiple choice questions (MCQs) of 35 marks from Research Methodology and 35 marks of subject domain, each question carrying one mark and the duration of the test is 90 minutes] followed by an interview. Result of an interview will be computed for 30 marks.
 - ii. The candidates who secure 50% marks in entrance test and interview put together are eligible for admission.
 - iii. A relaxation of 5% marks (from 50% to 45%) shall be allowed for the candidates belonging to SC/ST/SEBC (Non-Creamy layer)/ Differently abled category.
 - iv. The candidates having one of the following qualifications shall be exempted from the KPGU-PET, but have to appear for the interview:
 - a) Candidates declared qualified in SET/UGC-NET/CSIR-UGC NET/JRF/TRF, Entrance Test of CSIR/ICAR/ICMR/DBT/DST/ etc.
 - b) M.Phil. degree holder
 - c) Candidates who are granted fellowship/scholarship from state/central government agencies.
 - d) Candidates declared qualified in GATE/GPAT

v. The University shall bring out merit list of successful candidates for each subject, based on the performance in the Entrance Test & Interview. For the selection of the candidate, a weightage of 70% to the entrance test and 30% to the performance in the Interview shall be given. Those who are exempted from the entrance test, prorated on the basis of their interview/viva-voce shall be considered. Admissions shall be given based on the merit list and available seats.

4.7 The syllabus for PhD Entrance Test (PET) will be based on CSIR-UGC-NET/ UGC NET/ SLET/ GATE/GPAT. In addition to the above syllabus, a total of 50% weightage will be given to research methodology questions and it will be applicable for all Ph.D. programs.

5.0 Admission

5.1 The selected candidates will be admitted to Ph.D. programme in the respective department based on his/her PG qualification. The candidates should join before the last date mentioned in the notification by paying the prescribed fees, failing which the admission will be forfeited.

5.2 A provisional registration order for Ph.D. programme shall be issued.

5.3 The scholar, supervisor, co-supervisor, Research Advisory Committee (RAC) members and examiners shall not be blood-relatives of one another.

6.0 Fees

6.1 The selected candidates will have to pay the prescribed fees before the last date mentioned in the notification, failing which admission will be forfeited.

6.2 The semester fees shall be paid by the scholars every year/semester on or before the last date announced till the scholars submit the thesis. Late payment of fees will attract fine as per the university norms.

Ph.D. Full-time / Part-time

Tuition Fees

Sr. No.	Faculty / Department / Discipline	Amount per Annum INR
1.	Faculty of Engineering & Technology (FE&T)	72000
2.	Faculty of Medicine & Healthcare (FM&H)	60000
3.	Faculty of Science (FOS)	60000

Other Fees

Sr No	Type of Fees	Amount in INR
1	Application & Entrances Test Fees (once, at the time of applying)	1000
2	Enrollment Fees (One Time)	2000
3	Course Work and its examination (per subject per attempt)	1250
4	Library Fees (One Time)	2000
5	Fees for change of mode of Ph.D. study	1000

Note: other fees for viva-voce and examination will be notified.

7.0 Duration of the Programme

7.1 The duration of the programme and the time for submission of thesis are counted from the date of provisional registration.

7.2 The minimum duration of the programme is three years for Full Time and Four years for Part Time candidates including the time spent in fulfilling Course-work.

7.3 RAC shall permit, if deemed fit for reasons, study break for the scholar under extraordinary grounds such as medical and other compelling reasons which permit his/her absence from the programme. However, the study break period shall not be counted for the minimum

duration of the programme. Study break shall be granted up to a maximum period of one year. Such request shall be made in writing by the scholar with the recommendation of the supervisor, Head of the Department and Dean of the Faculty, and it should reach RAC prior to availing the study break. The scholars are required to regularly pay the semester fees during the study break period also.

- 7.4 The maximum duration of the programme, including Course-work, shall be six years for Full time and Seven years for Part time candidates for all the Faculties.

8.0 Extension

- 8.1 Scholars who cannot submit the thesis within the maximum duration of the programme (six/seven years) shall apply for extension of time three months prior to the completion of six/seven years in the prescribed format with the recommendation of Supervisor, Head of the Department and Dean of the Faculty. In such cases a maximum grace period of one year, beyond the normal maximum period of six/seven years shall be granted with the approval of the Provost.
- 8.2 Women candidates and persons with disability (more than 40% disability) are allowed a relaxation of two years in the maximum duration. In addition, the women candidate may be provided maternity leave/ child care leave once in the entire duration of the Ph. D. program for up to 240 days
- 8.3 If the scholar fails to submit the thesis within six/seven years from the date of joining the programme (except for the reasons given above), his/her registration shall be cancelled without any further notice.

9.0 Research Advisory Committee (RAC)

For selection of Ph.D. candidates, an Advisory Committee for each School/ Department shall be constituted consisting of the members as per the University regulations

The RAC will have the following functions:

- a) Selection of candidates for admission to the Ph.D. programme.
- b) Scrutinize the research proposal submitted by the candidate
- c) Allotment of Research Supervisors to students enrolled for the Ph.D. programme.
- d) Facilitating research facilities in the department.
- e) Maintenance of research quality and quality of publications.
- f) Sorting out any other research related issues of the department.

10.0 Doctoral Research Progress Committee (DPC)

- 10.1 There shall be a Doctoral Research Progress Committee (DPC) for every scholar to monitor the progress of research work.
- 10.2 The Doctoral Research Progress Committee (DPC) shall consist of members as per the University Regulations
- 10.3 The Doctoral Research Progress Committee (DPC) shall have the following functions:
 - i. Advise and recommend on all matters connected with the candidate's research till the submission of the thesis.
 - ii. Approve the topic of research.
 - iii. Recommend the course(s) that the candidate has to undergo.
 - iv. Assess and approve the progress reports of Ph.D. Scholars in the prescribed format and submit the report on the progress of the candidate with his/her research work
 - v. Finalize and approve the title of the thesis.
 - vi. If necessary, recommend and approve change of title of thesis, change of supervisor and change of status of researcher (full time to part time and vice-versa).
 - vii. Review the research progress of the candidate periodically.
 - viii. Supervise the presentation by the candidate of the final draft of his/her proposed thesis for approval before the submission of synopsis of the thesis to the Associate Dean, Ph. D. section and sign a certificate to this effect to be submitted along with the synopsis.

- ix. Assess the conduct/validity of experiments/field work, peruse laboratory observation notebooks, data recording, analysis and publications.
- x. Assess the quality and quantum of research work for submission of synopsis.
- xi. Verify the two mandatory publications in Scopus/ Web of Science /UGC Care listed journals to permit the scholar to submit the synopsis.
- xii. Approve the synopsis of the thesis.
- xiii. Recommend the panel of examiners as suggested by supervisor to Provost.
- xiv. Monitor the corrections carried out, if any pointed out by the thesis evaluation examiners.
- xv. The convener will convene the Doctoral Research Progress Committee (DPC) meetings with intimation to the Associate Dean, Ph. D. section

11.0 Programme Structure (Course Work)

- 11.1 All research scholars (full-time and part-time) shall undergo study of a minimum of four courses with maximum fourteen credits. The course work shall include a course on Research Methodology and Research and Publication Ethics which is mandatory and will carry four and two credits respectively. Rest of two courses may involve advanced topics, latest developments in the chosen field of research, and courses related to the research problem which will be assigned by DPC.
- 11.2 A scholar, who has completed his/her bachelor and master program in regional language other than English, will have to undergo university level audit course in the English language.
- 11.3 Scholars who opted for interdisciplinary research, have to take an additional course work of three credits relevant to the topic, as prescribed by the DPC in addition to 11.1.

- 11.4 Candidates already holding M.Phil. Degree and admitted to the Ph.D. programme in the same area of M.Phil. Research, may be exempted from Ph.D. course work. All other M.Phil. Candidates admitted to the Ph.D. programme shall undergo Ph.D. course work as prescribed by the DPC/Ph.D. regulation.
- 11.5 The total marks for each course in the final examination will be 100. The candidate shall secure minimum 55%, in the course work examination, failing which he/she has to reappear for the same course work examination in the next semester. If a candidate does not pass the course work examination in three appearances, his/her provisional registration will be cancelled.
- 11.6 The research scholars shall be required to complete the course work within a period of eighteen months from the date of provisional registration in order to pursue further with their research work. For those who fail to complete the course work within the stipulated time, the DPC may recommend an extension of six months, if the DPC is convinced of their performance. If not, the DPC may recommend the cancellation of Ph.D. registration.
- 11.7 A scholar is deemed to have cleared the course(s) only if he/she has more than 80% attendance, appeared for the examination and secured a minimum of 55% marks in the course(s).

12.0 Confirmation of Provisional Registration

- 12.1 After the successful completion of course work(s), the DPC meeting shall be convened to verify the course work completion, and assess the progress of research work. Upon satisfactory performance, the DPC shall recommend the confirmation of provisional registration in the prescribed format as minutes of DPC along with check list for confirmation of Ph.D. scholar registration.
- 12.2 The scholar shall be issued confirmation of provisional registration by the Associate Dean, Ph. D. upon completion of all the courses prescribed by DPC in its first meeting and

satisfactory progress in research. The provisional registration shall be cancelled for those scholars who do not fulfill the requirements within two years from the date of provisional registration.

13.0 Research at/ outside the University

A candidate may be permitted to pursue research for the Ph.D. degree in any of the following institutions:

- 13.1 All the Schools/Departments of KPGU.
- 13.2 Any other institution and having necessary facilities (Required Infrastructure and Supporting administrative and Research Promotion facilities as per UGC regulation 2016, stipulated under sub-clause 10.3) to carry out Ph.D. research may be recognized for doctoral research work.
- 13.3 The research scholar shall be permitted apply for permission to carry out his/her research outside the university only after the confirmation of the provisional registration. The request of the scholar shall be recommended by the supervisor and forwarded by the Head of the Department and Dean of the Faculty of the supervisor should reach the Associate Dean Ph.D for approval.
- 13.4 The papers published during such period of outside assignment should be relevant to the area of research and should carry the scholar's supervisor name as one of the authors.
- 13.5 The scholars, who obtain permission to work outside the University, should submit a certificate from the Organization stating the period of work and a brief research report.

14.0 Monitoring the Progress of the Scholar

- 14.1 The research scholars, both full-time and part-time, shall submit Research Progress Reports in the prescribed format duly endorsed by the Doctoral Research Progress Committee (DPC) once in every six months to the Associate Dean, Ph. D. until they submit their synopsis.
- 14.2 Failure to submit the progress reports periodically may result in the cancellation of registration.

14.3 The pre-synopsis presentation before the DPC is a mandatory requirement to assess the quality and quantum of research in addition to the incorporation of the suggestions offered by DPC members.

14.4 The presentation shall be arranged before the submission of the synopsis in the presence of DPC, faculty members, research scholars, M.Phil. and P.G. students.

15.0 Submission of Synopsis

15.1 The scholar shall be permitted to submit the synopsis 3 months before the completion of minimum duration of the programme, provided the scholar has published/accepted for publications at least two research papers in Scopus/Web of Science/ UGC Care list indexed journals and recommended by DPC.

15.2 A certificate must be submitted in the prescribed format signed by the supervisor, co-supervisor, if applicable and Head of the Department stating that the scholar has completed the minimum duration of the programme along with synopsis.

15.3 The research scholar shall submit six copies of the synopsis approved by the Doctoral Research Progress Committee (DPC) along with a soft copy to the Associate Dean, Ph. D. through the research supervisor, HOD and Dean of the respective Faculty.

16.0 Submission of Pre-Thesis (Draft Thesis)

16.1 The draft thesis must be submitted within six months from the date of submission of synopsis as per the prescribed format.

16.2 The title of draft thesis should be exactly the same as approved by DPC.

16.3 The research scholar should clear all the outstanding dues including fines, if any. Fees shall be paid by the scholars every semester during the notified period till the submission of the pre-thesis. Any other fees as applicable shall be paid as notified from time to time.

16.4 The research scholar should complete the research work and prepare a draft thesis duly checked and certified by the supervisor and Co Supervisor, if any. All the

chapters should be properly arranged in a proper sequence. Figures, Tables should be properly numbered, and standard referencing guidelines as per University prescribed format should be followed. The research scholar should make sure that there are no typographical, referencing and formatting errors.

- 16.5** The draft thesis may cover the following: (a) Introduction (b) Literature survey (c) Research gaps (d) Problem Formulation (e) Objective and Scope of the Study (f) Research Methodology (g) Experimentation/Survey/Simulation (h) Data Collection, analysis, and interpretation (i) Results and discussion/ Validation (j) Conclusions/findings (k) Further scope of research (l) References
- 16.6** The corrections/suggestions made during the pre-thesis evaluation should be incorporated in Final Thesis.
- 16.7** Self-certification indicating plagiarism level should not be more than 10%. (As per UGC Amendment Regulations, 23rd July, 2018)

17.0 Pre-Thesis Evaluation

- 17.1 The pre-thesis shall be referred to two examiners, nominated by the Provost from the panel of examiners recommended by the DRC. The Provost if deems it necessary may also nominate examiners from outside the panel.
- 17.2 The examiners appointed shall evaluate the pre-thesis and report on the merit of the thesis for the award of the Ph.D. degree. Each examiner is expected to give a detailed report on the thesis, apart from a duly filled in pro-forma for evaluation sent by the Associate Dean, Ph. D.
- 17.3 The examiners shall be requested to send his/her report within 45 days from the date of receipt of the pre-thesis to the Associate Dean, Ph. D. The reports sent by e-mail shall be accepted provided the email ID is the official email ID of the examiners.
- 17.4 If an examiner fails to send the report within the stipulated time, the Associate Dean, Ph. D. shall send a reminder to him/her immediately after the expiry of the deadline and request him/her to send the report within the next fifteen days. If the examiner concerned does not comply even after the extended period, the Associate Dean, Ph. D. shall cancel

the appointment forthwith and invite the next examiner from the approved panel to evaluate the thesis.

17.5 In the event of a request from the examiner(s) for more time for evaluation or receipt of the report after the appointment has been cancelled or postal delay or loss of report, etc. appropriate decision will be taken based on the facts in consultation with the Provost.

17.6 The Examiners who evaluate the thesis shall report on the merit of the scholar's thesis for the Ph.D. degree in one of the following terms:

- The thesis to be accepted for the award of Ph.D. degree in the present form.
- The thesis to be accepted for the award of Ph.D. degree after minor corrections/ revisions.
- The thesis can be passed after obtaining clarifications at the time of open Viva voce.
- The thesis be revised and resubmitted for evaluation
- The thesis is rejected.

17.7 The two examiners shall send the individual detailed reports along with the duly filled in pro-forma to the Associate Dean, PhD. The Associate Dean will forward the reports to the research supervisor of the scholar.

17.8 The supervisor shall prepare a consolidated report, bringing out the salient points made in the individual reports of the examiners, and place the compliance report and the examiners' reports before the DPC. After approval of the DPC members, the minutes shall be forwarded to the Associate Dean, Ph. D.

17.9 If both examiners unanimously recommend the award of the degree, the candidate will be asked to appear for a Public Viva-voce examination.

17.10 If both examiners give definite recommendation against the award of the degree, the thesis will be rejected.

17.11 If one of the examiners recommends the thesis for the award of the degree and the other examiner rejects the thesis, the thesis shall be referred to a third examiner belonging to the same category for evaluation.

17.12 The third examiner will not be provided with the report of the other two examiners. If the third examiner recommends the award of the degree, the

candidate will be asked to appear for a Public Viva-voce examination. If the third examiner also does not recommend the award of the degree, the thesis will be rejected.

17.13 If the examiner(s) recommends suggestions /corrections/ modifications/ alterations and does not insist on resubmission, asking the candidate to carry out the corrections/modifications in the thesis, then the candidate will be informed accordingly through the research supervisor. The candidate shall carry out the corrections suggested by the examiners. The supervisor shall furnish a certificate stating that all corrections have been carried out, which shall be endorsed by the DPC, HOD and the Dean. The final thesis along with the certificate shall be sent to the Associate Dean, Ph. D., before the Public Viva-voce examination.

17.14 If the examiner(s) recommends revision and resubmission, by one or both the points of revision shall be indicated clearly in the report(s). The necessary corrections shall be carried out by the scholar and the revised version shall be submitted along with the Minutes of DPC meeting for resubmission of pre-thesis to the Associate Dean, Ph. D., who will in turn send the corrected pre-thesis to the examiner(s) concerned. If the examiner(s) is/are still not satisfied with the revised version, the thesis will be sent to another examiner. If the revision is accepted by the examiners, the Public Viva-voce examination will be conducted.

18.0 Public Viva-Voce Examination

18.1 The reports of the examiners shall be made available to the convener (research supervisor) of the Public Viva-voce, and the co-supervisor (if any). The reports shall be placed in the DPC and obtain the approval for the conduct of Public Viva-voce examination.

18.2 The Public Viva-voce Examination shall consist of the research supervisor, co-supervisor, if applicable, the External Examiner who evaluated the thesis and the Head of the Department and the presentation will be before, faculty members, research scholars, M.Phil. and P.G. students.

- 18.3 The Public Viva-voce examination shall be conducted within two months from the date of receipt of the reports by the examiner.
- 18.4 In the event of both the external examiners being unavailable for the open viva voce, a third examiner shall be appointed by the Provost to conduct the open viva voce.
- 18.5 If a candidate fails to defend his/her thesis satisfactorily in the Public Viva-voce examination, the candidate may be permitted to reappear for the Public Viva-voce after a period of three months.
- 18.6 The Public Viva-voce examiners shall recommend one of the following:
- 18.6.1 That the degree be awarded
- 18.6.2 That the candidate be re-examined in a second Public Viva-voce examination. (This will be done after a period of atleast 3 months).
- 18.6.3 That the degree be not awarded and the thesis be rejected.
- 18.7 After successful completion and recommendation of Viva Voce Examination, candidate must submit the final hard bound thesis within seven days.
- 18.8 A candidate who is successful in the public Viva-voce Examination shall be recommended for the award of the Ph.D. degree by the Public Viva-voce examiners
- 18.9 If the thesis is recommended for award of the Ph. D. degree after the open viva voce, the Registrar, with the approval of the Provost, shall declare the result. A Notification to this effect shall be issued by the Registrar to the successful scholar.
- 18.10 The consolidated recommendation will be placed before the RAC and Academic Council for its approval from the date of Public Viva-voce examination and the Degree for the approved candidates will be awarded in the convocation in person or in absentia.

19.0 Submission of Final Thesis

- 19.1 The thesis shall be prepared in accordance with the prescribed format and specifications. Three hard copies of the thesis with soft copy in CD (PDF format) in each copy of the thesis along with pro-forma for

- submission of thesis shall be submitted within seven days after Public Viva-voce completion to the Associate Dean, Ph. D.
- 19.2 The thesis shall include a declaration from the scholar, and a certificate from the supervisor and co-supervisor (if applicable) as prescribed, to the effect that the thesis is a record of original research work carried out by the scholar and the work reported in the thesis is not copied from other sources/not submitted elsewhere for a degree or diploma.
- 19.3 The full research papers shall be attached to the Ph.D. thesis.
- 19.4 The thesis shall comply with the following conditions to merit the award of Ph.D. degree:
- i. It should be a piece of research work characterized either by finding of new facts or by a fresh approach towards interpretation of facts and theories.
 - ii. It should reflect the candidate's capacity for critical examination and judgment.
 - iii. It should be satisfactory in terms of presentation and adhering to proper methodology of thesis writing.
- 19.5 The thesis shall not exceed 300 pages excluding the bibliography, Appendices, etc. If it exceeds the specified number of pages, the research supervisor should write to the Associate Dean, Ph. D. with the reasons and get prior approval from the Associate Dean, Ph. D.
- 19.6 The thesis shall be typed on both sides of the page in order to save paper and postage.
- 19.7 The thesis shall contain a certificate from the supervisor specifying that the thesis submitted is a record of research work done by the candidate during the period of study under him/her, and that the thesis has not previously formed the basis for the award of any Degree, Diploma, Fellowship or similar title.
- 19.8 A statement from the supervisor indicating the extent to which the thesis represents independent work on the part of the candidate should also be made including free from plagiarism.

19.9 The thesis shall also contain a declaration by the candidate that the work reported in the thesis has been carried out by the candidate himself/herself and that the material from other sources, if any, is duly acknowledged and no part of the thesis is plagiarised.

20.0 Award of Ph.D. Degree

20.1 After successful completion of Public Viva-voce and prior to the actual award of the degree in the Convocation, a Notification of completion of Public Viva-voce and Provisional Certificate shall be issued to the effect that the Degree has been awarded in accordance with the provisions of UGC Regulations, 2016.

20.2 The Ph.D. Degree Certificate shall contain the name of the scholar, photo of the scholar and also the title of the thesis along with the name(s) of the Faculty and discipline. Further, the degree certificate shall also contain the statement “The degree is awarded in compliance with the University Grants Commission Regulations, 2016”.

20.3 In the case of the award of the Ph.D. Degree for inter-disciplinary research, the Degree Certificate shall bear the subject of the candidate’s postgraduate degree and the discipline of the department(s) in which the candidate has conducted his/her doctoral research mentioning them as “inter-disciplinary.”

21.0 Cancellation of Registration

21.1 The registration of a scholar who has not submitted his/her thesis before the end of the maximum duration including the extension period for the programme as per University norms shall stand cancelled automatically

21.2 The registration is liable for cancellation, if

- i. A candidate can cancel his/her registration by submitting formal application duly forwarded and recommended by concern guide.
- ii. The Ph.D. registration of a scholar is liable to be cancelled for any of the following reasons:

- a) Consistent lack of progress in research.
- b) Violation of discipline conduct and rules of the Department/ School/ University.
- c) Non-submission of the thesis within the stipulated period.
- d) Non-conformity with the rules/regulations/ordinances of the programme.
- e) Giving false information at the time of application/ admission/ registration.
- f) Registration may also be cancelled on the recommendations of the DPC on account of lack of progress as reported by the guide and also after giving due opportunity to the scholar for defending his/her case.
- g) If the scholar fails to complete the confirmation of provisional registration within period from the date of registration for the Ph.D. programme.
- h) Submission of revised thesis incorporating the suggestions of any examiner beyond six months.
- i) Communicating with the thesis examiners in any form by the scholar/supervisor/ co-supervisor, if applicable/anybody.

22.0 Repository of the Thesis

Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. Degree, the School concerned shall upload the electronic version of Ph.D. thesis to the Shodhganga - INFLIBNET, so as to make it accessible to all Institutions/Colleges and one copy of the thesis should be submitted to the department library and another copy of the thesis should be submitted to the University library.

23.0 Redressal of Grievance

In case of any dispute, the initial communication may be done with the Ph.D. Section. If the dispute is not resolved, it may be escalated to the committee formed to address the dispute. If the dispute is between a research scholar his/her Research



Supervisor/ Department/ Faculty/ University, a Committee nominated by the Provost of the University, whose decision shall be the final.

NOTE:

- 1.0 This is a summarized version of the full PhD Regulations- 2021 of KPGU.
- 2.0 For the comprehensive/Complete PhD regulations of KPGU, please contact university officials
- 3.0 However, any Guideline made available from UGC as a National policy also be consider without University Policy and to be implemented in the perspective of the University.
- 4.0 If any dispute arises in the interpretation of the regulation/ ordinance, the decision of the Provost shall be final.